Reference	No	
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Application Form for Opening a Demat Account

□ N	SDL	INDIVIDUAL
14.	SUL	INDIVIDUAL

NAME :		
	it	
ACCOUNT NO. :		



SS CORPORATE SECURITIES LIMITED

NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitam Pura, Delhi-110034

Tele: 91 11 4700 3600 (30 Lines), Fax: 91 11 47003601

DP ID : IN303108 DP SEBI Reg. No. : IN-DP-NSDL-256-2006 DP ID : 12062000 DP SEBI Reg. No. : IN-DP-CDSL-521-2009

ADDITIONAL INFORMATION RELATED TO DEMAT ACCOUNT

SS CORPORATE SECURITIES LIMITED CIN No.: U74899DL1994PLC062572 NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitam Pura, Delhi-110034

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The rules and regulations of the Depository and Depository participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes there, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have compiled and will continue to comply with FEMA regulations. I /we acknowledge the receipt of copy of the document. "Rights and Obligations of the Beneficial Owner and Depository Participants.

I hereby declare that the Mobile No. & Email ID given in KYC Form / Demat Account Opening Form belongs to me or my family member (spouse, dependent children and dependent parents).

Name(s) of holder(s)	Signatures(s) of holde			
Sole / First Holder / Guardian (in case sole holder is minor) Mr./Ms.	#			
Second Holder (Mr./Ms.)	K			
Third Holder (Mr./Ms.)	*			

NOTES:

- 1. All communication shall be sent at the address of the Sole/First holder only.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- 3. Instructions related to nomination, are as below:
- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or
 jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family,
 holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder, Anon-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository find the Participant against the legal heir.
- VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- For receiving Statement of Account in electronic form:
- I. Client must ensure the confidentiality of the password of the email account.
- II Client must promptly inform the Participant if the email address has changed.
- Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- In case applicant wish to apply for BSDA/RGESS services, he/she shall submit additional request form as prescribed by regulatory authority from time to time.
- Strike off which ever is not applicable.

FATCA & CRS Declaration -Individual

PAN NO.		10
DPID:	Client ID:	
Name:		
Place of Birth:	Country of Birth:	
Nationality:		
Annual Income: Below 1 Lac 10 Lac to 25 Lac	☐ 1 Lac to 5 Lac ☐ 25 Lac to 1 Crore	☐ 5 Lac to 10 Lac ☐ Above 1 Crore
Net Worth Amount (Net worth should not be older than 1	year) Net Worth as on	
Occupational Business Pri Detail Agriculturist Pu	- 0.00	
Politically Exposed person (PEP) [(RPEP) Are you a tax resident of any could yes please indicates the all countries in ID number below:	untry other than India	Yes No
Sr. No. Country	Tax Identification Number	Identification Type (TIN or Other, please specify)
1		
2		
3		
4		
	DECLARATION	
I have read and understood the informat (read along with FATCA & CRS instructi form is true, correct and complete. I here any modification to this information prom I further agree to abide by the provision CRS on Automatic Exchange of Information Signature here:	ons) and hereby confirm that the in eby agree and confirm to inform Sa aptly. s of the scheme related document	nformation provided by me on this S Corporate Securities Limited for

Nomination Form [Annexure A to SEBI circular No. EBI/HO/MIRSD/RTAMB/CIR/P/2021 /601 dated July 23, 2021 on Mandatory Nomination for Eligible Demat Accounts]

ND!	SS Corporate Securities Limied NDM-2, D_Block, 3 rd Floor, Netaji Subhash Place, Pitampura, Delhi-34						FORM FOR NOMINATION (To be filled in by individual applying singly or jointly)																
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1	Name of the	nomine	e(s) (Mr./N	fs.)							T						T					
2	Share of Equally (if not squally,				- 0	96						%			\dagger		_	34	17				
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3	Relationship	with /	Applic	cant (If Any)		1400	NAME OF						2000	.0011	,24(5)							
4	Address of N City / Place: ! Country:		(1)																				
		PIN C	ode					100				L						4					
5	Mobile / Tele	phone !	No. 0	f nom	inee(n)	_						L						4					
6	Email ID of	nomine	e(s)									L						1					
7	Nominee Identification details [Please tick any one of following and provide details of same]		Photograph & Signature				Photograph & Signature						Photograph & Signature			ture							
			_	5	r. Nos. 8	-14 s	houl	d be f	illed o	only	ifi	om	ine	(s) i	521	nine	or:	_					
8	Date of Birth	(in cas	e of r		-	_		-		-		T						Т					
9	Name of Gus of minor n			(ls.) (i	in case							T						Ť					
10	Address of G City / Place: 5 Country:		77												1								
		PIN C	ode																				
11	Mobile / Tele	phone :	no. of	Guar	rdian																		
12	Email ID of	Guard	ian																				

13	Relationship of Guardian with nominee		1	
14	Guardian Identification details - [Please tick any one of following and provide details of same] Account no. Proof of identity:	Guardian Photograph & Signature	Guardian Photograph & Signature	Guardian Photograph & Signature
		Name(s) of Account	bolder(s)	Signature(s) of holder*
Se	ole / First Holder (Mr /Ms.)			Total State of the
S	ocond Holder (Mr./Ms.)			
T	hird Holder (Mr./Ms.)			

Signature of witness, along with name and address are required, if the account holder affixes thamb impression, instead of signature

Note: This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of nomination form to account holder(s)

OPTING OUT OF NOMINATION

Declaration Form for opting out of nomination[Annexure B to SEBI cir No.SEBI/HO/MIRSD/ RTAMB /CIR/P/ 2021 601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts

To	Date	8	D		L N		T	
	D-Bloc	k, 3 rd F	loor, Ne	taji Subhi	sh Place	, Pitampi	ura, Delit	i-34
UCC/DP ID	1	N	3	0	3	1	0	8
Client ID (only for Demat account)								
Sole/First Holder Name								
Second Holder Name								
Third Holder Name								
trading / demat account and under nominee(s) and further are aware that legal heirs would need to submit all assets held in my / our trading / dem by Court or other such competent aut	t in ca the re at acc	se of equisi ount,	death of the document of the d	of all the uments may als	accou / inform to include	nt holde nation for de docu	er(s), m or clair iments	ny / our
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/ demat account.	d Sigr	natur	e of Ho					

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

- The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

- The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
- In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

 The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

- The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/ Business Rules of the Depositories.

Transfer of Securities

- 11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
- The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

- The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
- In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

NSDL & CDSL

Manner of Closure of Demat account

- 17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996, 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
- Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

 The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have
 - under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
- The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
- Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Additional Rights And Obligations' of the Rights and Obligations Document

"The stock broker/depository participantshall not directly /indirectly compel clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instruction (DDPI) or deny services to client if client refuses to execute PoA or DDPI."

AUTHORISATION FOR PROVIDING DP TRANSACTION STATEMENT BY EMAIL OR ON WEBSITE

To, SS CORPORATE SECURITIES LIMITED, NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitam Pura, Delhi-110034

Dear Sir.

- I/we understand that, I/we have the option to receive transation statement by email or on website, in pursuance of the same. I/We hereby opt for receipt of transation statement (including but not limited to statement of account holding statement or any other communication) through email.
- 2. I/we is/are aware that I/we will not receive the transaction statement in paper form.
- I/we will take all the necessary means to ensure confidentiality and secrecy of the login name and password of the internal/email.
- I/we/you shall have the right to terminate this service by giving in 10days written notice in advance.

Thanking you

Your faithfully

185					
Sole /	First	Hol	der	Signa	dure

	25	
Second	Holder S.	ignature

	100	
Third	Holder Si	gnature

Option for issuance of DIS booklet alongwith account opening

SS Corporate Securities Ltd NDM-2, Block-D, 3 rd Floor, Netaji Subhash Place, Pitampura, Delhi-34		DP ID				
		Chent ID				
Name (s) of account holders	Sole /First Holder					
	Second Holder					
	Third Holder					
Option for issue of	DIS booklet (Please tick	any one)				
Option 1	I/we wish to receive the Delivery Instruction Slip (DIS) booklet with account opening					
Option 2	I/We do not wish to receive the DIS booklet with account opening. However the DIS booklet should be issued to me / us immediately on my / our request at any later date					
Beneficial Owner	Name	2		Signature	with date	
Sole / First Holder		97	X			
Second Holder			X			
Third Holder			X			
			1			

SPECIMEN OF BOARD RESOLUTION OF CORPORATE ACCOUNT

Board Resolution for opening a Demat Account with SS Corporate Securities Limited, a Depository Participant with NSDL and CDSL. "RESOLVED THAT the Company be and is hereby authorised to open a Demat Account with SS Corporate Securities Limited, Depository Participant with NSDL & CDSL, having NSDL DP Id : IN303108 and CDSL DP Id : 12062000 and having Registered Office at NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitam Pura, Delhi-110034. "RESOLVED FURTHER THAT Mr.____ Directors of the Company be and are hereby authorized. jointly or anyone, to execute, sign and issue all / any such agreements, documents writings and instruments and all delivery instruction that SS Corporate Securities Limited may require for the purpose of operating the Demat Account of ". "RESOLVED FURTHER THAT a copy of the following resolution certified as True Copy by Mr. Director be given to SS Corporate Securities Limited for its record and SS Corporate Securities Limited is authorized to act and rely on those resolutions and that the Company Seal in the said documents be affixed in the presence of Mr._____, Director of the Company*. Specimen Signature of : For: Director: Attested by Director Declaration for Name difference in PAN CARD and / or Bank Pass Book S/o, D/o, W/o do hereby state as under-I refer to my account opening application for a Demat Account with SS Corporate Securities Limited in the name I do hereby affirm, declare and undertake as under:-1. That my name as it appears on the PAN CARD is ______ and on Driving That my name as it appears on the IT website http://incometaxindiaefilling.gov.in/challan/enterpanforchallan.jsp That my name as it appears on the Bank Pass Book is ____ 4. That all the names mentioned above, i.e. the name mentioned on the PAN CARD, the name mentioned on the Bank pass Book and the name as it appears on the IT website pertains to me. Any losses, claims, liabilities, obligations, damages, deficiencies, judgments, actions, suits, proceedings due to these name differences will be mine and I only will be solemnly responsible for the same. That I shall indemnify & keep indemnified SS Corporate Securities Limited, its directors, officers, employees and agents from and against any and all losses, claims, liabilities, obligations, damages, deficiencies, judgments, actions, suits, proceedings or any liability suffered or incurred or fastened on to SS Corporate Securities Limited due to SS Corporate Securities Limited accepting this Declaration cum Undertaking and acting on the same. That the contents of this Declaration have been explained to me in vernacular and I have understood the same before signing it. That this Declaration given by me to SS Corporate Securities Limited is given by me without any force, undue

(1st / 2nd / 3rd Holder has to submit separately in case of Joint Accounts)

influence and at present I am having sound health and mind.

Customer Signature : Customer Name :

Client Id Place Date

CALL PROPERTY AND ADDRESS OF THE PARTY OF TH	-		COUNTS OF NSDL AND CDS	T		
Tick any one	R	egular Scheme	Corporate Account	Life Time		
Description	Individ	dual and HUF	Corporate	Individual and HUF		
Account Maintenance Cycle	Q	uarterly - Four	Quarters (One Year)	N.A.		
Maintenance Charges		for First Quarter, g three Quarters	Rs. 800/- for First Quarter, Remaining three Quarters are free	Rs. 999/-		
Common Charges Applicab	le to All Si	chemes :-				
Transaction Charges :-						
On Market Transaction:- Throug Throug	h Speed-e h DIS Slip	Rs. 15/- per instruction Rs. 20/- per instruction				
	h Speed-e h DIS Slip	Rs. 25/- per Instruction Rs. 30/- per Instruction				
Dematrialization Charges*		Rs. 6/- per certificate Subject to Min. Rs. 50/- Plus courier charges				
Dematrialization Rejection Charg	es*	Rs. 20/- per Rejection Plus courier charges				
Rematrialization Charges*		Rs. 100/- per request or Rs. 25/- for every 100 securities or part thereof, whichever is higher Plus courier charges				
Pledge Creations		Rs. 50/- per instruction or 0.01% of value, whichever is higher				
Securities Borrowings ,		Rs. 50/- per instruction				
Hold on Securities for NDU		Rs. 100/- per instruction or 0.02% of value whichever is higher				
Closure/ Invocation of Pledge		Rs. 50/- per instruction				
Conversion of MF units* (SOA to Demat)		Rs. 50/- per ISIN				
Reconversion of MF units* (Demat to SOA)		Rs. 100/- per ISIN				
Redemption of MF Units*		Rs. 15/- per ISIN				
Speed-e and Easiest (For Passw	ord Users)	Rs. 100/- per Annum				
Speed-e and Easiest (DSC based	user)	Rs. 500/- per Quarter				
Speed-e & Easiest Activation Charges / Reset of Password		Rs. 100/- per Request				
Account / ISIN (Freezing/Unfreezing)		Rs. 50/- per request				
Issue of Client Master(additional)		Rs. 20/- per Client Master Plus courier Charges, if sent by courier				
POA Charges including Stamp paper		Rs. 100/-				
DIS Book*(20 Leaves)		Rs. 30/- per Book Plus courier Charges				
DIS Book Issue through letter		Rs. 100/- per Book / Instance Plus courier Charges				
DIS Rejection/ Failed Instruction Charges*		Rs. 10/- per instruction Plus courier Charges				
Modification in Client master		Rs. 50/- per instance				
Non -Periodic Statement		Nil by Email. Rs. 30/- per instance for physical.				
CAS Charges (Email / Physical)		As per NSDL / CDSL				
*Courier Charges Extra :- R	s. 30/- with	in Delhi, Rs. 40/	- outside Delhi or Actual Charg	ges		
Annum) (For Other Than Debt Sec	curities Up to	50,000 - NII, 50,000	1 Lac to 2 Lacs Rs. 100/- p.a., More to 2 Lacs Rs. 100 per Annum, Abov Instruction or 0.02% of value, which	re 2 Lacs Rs. 250/- p.a.)		

. In case Bank Mandate for Debit through ECS is not give, minimum credit of Rs. 500/- shall be maintained.

In case of non-payment of dues within 30 days of due date, interest will be charged @2% per month on the
outstanding dues and the depository services are liable to be discontinued. Restoration charges will be Rs.100/-.

For Off Market Transaction :- Rs. 40/- per Instruction or 0.02% of value, whichever is higher

- Any service not listed above will be charged extra.
- · Govt. Taxes, Stamp Duty and other government levies are extra as applicable from time to time.

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(First Holder)	(Second Holder)	(Third Holder)